

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/767,041	01/22/2001	Hilda E. Smith	4726US

CONFIRMATION NO. 3344

24247  
TRASK BRITT  
P.O. BOX 2550  
SALT LAKE CITY, UT 84110

FORMALITIES LETTER



\*OC00000006200409\*

Date Mailed: 06/19/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

09/21/2001 MWOLDER1 00000081 09767041

FILED UNDER 37 CFR 1.53(b)

01 FC:201	355.00	OP
02 FC:202	120.00	OP
03 FC:203	117.00	OP
04 FC:205	65.00	OP

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$474.
  - \$234 for 13 total claims over 20.
  - \$240 for 3 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1314.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52 because:
  - Papers contain improper margins. *Each sheet must have a left margin of at least 2.5 cm (1") and top, bottom and right margins of at least 2.0 cm (3/4")*
- Substitute drawings in compliance with 37 CFR 1.84 because:

- drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

---

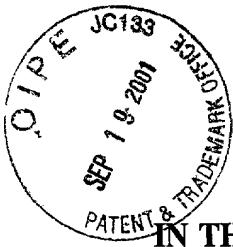
*AS*  
*A copy of this notice MUST be returned with the reply.*

---

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



09-20-01

N *sector*  
~~100~~

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**In re Application of:**

Hilda E. Smith

**Serial No.:** 09/767,041

**Filed:** January 22, 2001

**For:** STREPTOCOCCUS SUIS  
VACCINES AND DIAGNOSTIC TESTS

**Examiner:** To be assigned

**Group Art Unit:** 1646

**Attorney Docket No.:** 4726US

**NOTICE OF EXPRESS MAILING**

Express Mail Mailing Label Number: EL74054830705

Date of Deposit with USPS: September 19, 2001

Person making Deposit: Blake Johnson

**RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF NONPROVISIONAL APPLICATION**

Attention: Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

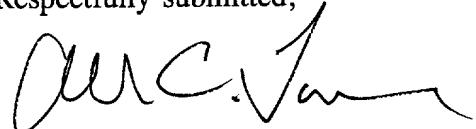
In connection with the above-referenced patent application, enclosed is a copy of the Notice to File Missing Parts of Nonprovisional Application, and Check No. 1084 in the amount of \$657.00 for the surcharge, filing fee and additional claims fee along with a Declaration and Power of Attorney Patent Application; Substitute Specification (clean version)(211 pages); Substitute Specification (marked-up version)(212 pages); and Letter to the Chief Draftsman with drawings with changes marked in red and drawings reflecting proposed changes.

Applicant includes herewith a Petition for Extension of Time for a one month extension of time. The Petition is accompanied by a check to cover the cost of this one month extension.

Checks in the amounts of \$657.00 and \$55.00 are enclosed in payment of all required fees.

If the amount of the checks are insufficient, please debit Deposit Account No. 20-1469 for the remainder of the fee. If the amount is in excess of that required, please credit that deposit account.

Respectfully submitted,



Allen C. Turner  
Registration No. 33,041  
Attorney for Applicant  
TRASKBRITT  
P. O. Box 2550  
Salt Lake City, Utah 84110-2550  
Telephone: (801) 532-1922

Date: September 19, 2001

ACT/le

Enclosures: Copy of Notice To File Missing Parts of Nonprovisional Application  
Check No. 1084 in the amount of \$657.00  
Declaration  
Substitute Specification (clean version)  
Substitute Specification (marked-up version)  
Petition for extension of time  
Check No. 17345 in the amount of \$55.00